Customer No. 22,852 Attorney Docket No. 09471.0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re A | Application of: |) |
|---------|---|-------------------|
| You-F | ring CHAN et al. |) |
| Applic | ation No.: Not Yet Assigned |) Group Art Unit: |
| Filed: | December 6, 2004 |) Examiner:) |
| For: | POLYAMINOACIDS FUNCTIONALIZED BY ALPHA TOCOPHEROL AND USES THEREOF, PARTICULAR FOR THERAPEUTIC APPLICATIONS |) |

U.S. National Phase of International PCT Application No. PCT/FR03/50003

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the enclosed international search report. Copies of the listed foreign patent documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

10/516733 DT12 Rec'd PCT/PTO 0 6 DEC 2004

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The following are listed on the accompanying PTO/SB/08 and are in a non-English language:

- 1. European Application No. 0 179 023 English-language claims are printed on pages 19-23 of the document.
- 2. WIPO Publication No. 87/03891

In lieu of a statement of relevance or the translation of the non-English documents, enclosed is an English-language international search report from the European Patent Office in the PCT international application, from which this national phase U.S. application is derived, citing these documents and setting forth the relevance thereof. An English-language abstract of the document number 2 is also enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: December 6, 2004

Ernest F. Chapman Reg. No. 25,961

Enclosures EFC/FPD/gah

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T12 Rec'd PCI plete if Known IDS Form PTO/SB/08: Substitute for 449A/PTO Application Number Filing Date December 6, 2004 INFORMATION DISCLOSURE First Named Inventor You-Ping CHAN STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) Examiner Name Sheet Attorney Docket Number 09471.0016

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
|---|--------------|--|---------------------|------------------------------|---|
| Examiner Initials | Cite No.1 | Document Number Issue or Number-Kind Code ² (if known) Issue or Publication Date MM-DD-YYYY | Name of Patentee or | Pages, Columns, Lines, Where | |
| | | | | Applicant of Cited Document | Relevant Passages or Relevant Figures Appear |
| | | US-6,320,017 | 11/20/2001 | Ansell | |
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Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

| | FOREIGN PATENT DOCUMENTS | | | | | | |
|----------------------|--------------------------|--|--------------------------------|--|--|--------------------------|--|
| Examiner Initials | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ | |
| | | EP 0 179 023 B1 | 01/23/1991 | Battelle Memorial Institute | | Claims | |
| | | WO 87/03891 | 07/02/1987 | Battelle Memorial Institute | | Abstract | |
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| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|--|--|--|--|
| Examiner Initials | | | | |
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| Examiner | Date | |
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| Signature | Considered | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.